

# FINANCIAL CHECKLIST

*Please provide the following financial information*

## **INCOME:**

- A. One full month of pay stubs
- B. If self-employed, year-to-date income & expenses
- C. Year-to-date dividend and/or interest income
- D. Documentation of any other income (trust, pension, social security, etc.)
- E. Next year's projected income

## **TAXES:**

- A. Most recent completed Federal tax return (personal & corporate)
- B. Most recent W2 forms

## **SAVINGS, RETIREMENT, AND INVESTMENTS:**

- A. Last bank statement(s)
- B. Retirement plan statement(s) (IRA, 401(k), TSA, KEOGH, Defined Benefit plan etc.)
- C. Statements from any mutual fund, stock, bond and/or brokerage accounts
- D. Original date and purchase price of home

## **INSURANCE:**

- A. Policies, illustrations and recent statements for any life insurance or annuity contracts

## **DEBT INFORMATION:**

- A. Current mortgage statement with current balance, monthly payment and Interest rate
- B. Statement for home equity line of credit (if any)
- C. Current balance(s) of any outstanding student loans

## **ADDITIONAL INFORMATION:**

- A. Drivers license numbers
- B. Social Security Statement
- C. Trust information

### **Sydney Riccio, Financial Advisor**

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Sydney Riccio is a Coto resident, a financial advisor with Cooper McManus, a Representative with Securities America, a registered Broker/Dealer, member FINRA/SIPC, and a college funding advisor. CA Insurance License 0E01624.

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